

## Customer Privacy Policy Notice

In November of 1999, Congress enacted the Gramm-Leach-Bliley Act (GLBA). The GLBA requires certain financial institutions, such as investment advisor firms, to protect the privacy of customer information. In situations where a financial institution does disclose customer information to nonaffiliated third parties, other than permitted or required by law, customers must be given the opportunity to opt out or prevent such disclosure. Rosemeyer Management Group does not share or disclose customer information to nonaffiliated third parties except as permitted or required by law.

Rosemeyer Management Group is committed to safeguarding the confidential information of its clients. RMG holds all personal information provided by clients in the strictest confidence and it is the objective of Rosemeyer Management Group to protect the privacy of all clients. Except as permitted or required by law, Rosemeyer Management Group does not share confidential information about clients with nonaffiliated parties. In the event that there were to be a change in this policy, RMG will provide clients with written notice and clients will be provided an opportunity to direct RMG as to whether such disclosure is permissible.

To conduct regular business, RMG may collect personal information from sources such as:

- Information reported by the client on applications or other forms the client provides to Rosemeyer Management Group
- Information about the client's transactions implemented by RMG or others
- Information developed as part of investment advisory services

To administer, manage, service, and provide related services for client accounts, it is necessary for RMG to provide access to customer information within the firm and to nonaffiliated companies, with whom RMG has entered into agreements with. To provide the utmost service, RMG may disclose the information below regarding customers and former customers, as necessary, to companies to perform certain services on Rosemeyer Management Group' behalf.

- Information RMG receives from the client on applications (name, social security number, address, assets, etc.)
- Information about the client's transactions with RMG or others (account information, payment history, parties to transactions, etc.)
- Information concerning investment advisory account transactions
- Information about a client's financial products and services transaction with Rosemeyer Management Group

Since RMG shares nonpublic information solely to service client accounts, RMG does not disclose any nonpublic personal information about Rosemeyer Management Group' customers or former customers to anyone, except as permitted by law. However, RMG may also provide customer information outside of the firm as required by law, such as to government entities, consumer reporting agencies or other third parties in response to subpoenas. In the event that RMG has a change to its customer privacy policy that would allow it to disclose non-public information not covered under applicable law, RMG will allow its clients the opportunity to opt out of such disclosure.